Desjardins

Free securities transfer
Between Desjardins Securities accounts

Section 1 – Account holder's information

Transferor's information (party that is transferring the securities)

☐ Mr. ☐ Ms. Last name: _____ First name: _____

☐ Mr. ☐ Ms. Last name: _____ First name: _____

☐ Mr. ☐ Ms. Last name: _____ First name: _____

Or entity's name: _____

Attn.: _____

Non-registered account number[1]: |__|__|__|__|__|__|__|

Assignee's information (party receiving the securities)

☐ Mr. ☐ Ms. Last name: _____ First name: _____

☐ Mr. ☐ Ms. Last name: _____ First name: _____

☐ Mr. ☐ Ms. Last name: _____ First name: _____

Or entity's name: _____

Attn.: _____

Non-registered account number[1]: |__|__|__|__|__|__|__|

Section 2 – Transferor's declaration

I hereby declare that this free securities transfer (gift of securities) is being made in kind, without any expectation of compensation, whether direct or indirect, and that it may not be cancelled or revoked in any way. I agree to assume all liability related to this declaration. As the transferor, I, the undersigned, have provided Desjardins Securities Inc. with instructions to transfer the above-mentioned securities to the assignee mentioned below.

Section 3 – Description of transfer

Establishing the value of the securities being transferred:

- For a **gift**, the value of the securities is based on the fair market value (FMV) on the day before the form is processed by Desjardins Securities.
- For a **tax rollover** between a person and their sole proprietorship or a person and a trust, the value of the securities is based on the book value, upon presentation of a letter from the accountant confirming the transaction is eligible for a tax rollover.

Complete the table. (Note that all securities mentioned below will be transferred to the designated assignee.)

Description (name of investment or cash/liquidity)	Security symbol and/or CUSIP Number	Quantity or amount	Reserved for Desjardins Securities	
			GRD request No.	IBM No.

To be completed by clients of Desjardins Online Brokerage (DISNAT) only:

Transfer fees to be paid by: ☐ Transferor ☐ Assignee

Section 4 – Transfer type (check one only)

☐ **Tax rollover**

- ☐ Between a person and their sole proprietorship (include a letter from the accountant **and** company information from the Quebec Enterprise register [REQ])
- ☐ Between a person and a living trust (include a letter from the accountant **and** a trust document[2])

☐ **Gift**

- ☐ Between a person and their sole proprietorship (Provide information from the REQ)
- ☐ An immediate family member:
  - ☐ Child ☐ Brother/sister ☐ Father/mother ☐ Spouse or common-law partner ☐ Spouse or common-law partner who will be transferring the securities to their own RRSP[3] or TFSA
- ☐ Donation to a duly registered charity with the Canada Revenue Agency (CRA)

  CRA registration number: _____
- ☐ Other:
  - ☐ Transfer type: _____
  - ☐ Transfer details: _____

Section 5 – Additional information

Is this an isolated situation for the transferor? ☐ No ☐ Yes

If yes, has the transferor made other requests over the last 24 months?

☐ No ☐ Yes, please specify:

_____

Section 6 – Signatures

Transferor signature(s)

Name of Transferor (please print)	X Signature of Transferor	Date (YYYY-MM-DD)
Name of Transferor (please print)	X Signature of Transferor	Date (YYYY-MM-DD)
Name of Transferor (please print)	X Signature of Transferor	Date (YYYY-MM-DD)

Assignee signature(s)

Name of Assignee (please print)	X Signature of Assignee	Date (YYYY-MM-DD)
Name of Assignee (please print)	X Signature of Assignee	Date (YYYY-MM-DD)
Name of Assignee (please print)	X Signature of Assignee	Date (YYYY-MM-DD)

VMD signature(s)

Name of advisor[4] or representative (please print)	X Signature of advisor or representative	Date (YYYY-MM-DD)
Branch supervisor's name (please print)	X Branch supervisor's signature	Date (YYYY-MM-DD)
Name of compliance advisor (please print)	X Signature of compliance advisor	Date (YYYY-MM-DD)

[1] Free securities transfers (gift of securities) are not permitted within a registered plan, given that the Income Tax Act requires that all contributions, acquisitions and dispositions of property, as well as distributions and any other transactions involving a registered plan, be carried out at fair market value without exceeding the holder or annuitant's available contribution room, if applicable.

[2] May include: The deed of trust, the trust agreement, the declaration of trust, a will containing a trust and trustee investment management documents.

[3] The client is responsible for consulting an independent tax advisor, as needed, to ensure the securities transfer complies with all provisions of the Income Tax Act.

[4] The term "advisor" refers to all categories of advisors registered with the Canadian Investment Regulatory Organization (CIRO), including registered representatives, portfolio managers and assistant portfolio managers.