## **Desjardins** Online Brokerage

## REGISTERED PLAN TRANSFER-IN INSTRUCTION

Schedule: List of additional securities

Section 1 - Client's identification (in block letters)				
Mr. Ms. Last name:	First name:			
Account number:	Social insurance number:			
Section 2 - Transfer requested				
Total (check one box only)				
$\Box$ In cash (including the sale of all securities)	$\Box$ In kind (as is)	Mixed	(including the sale of the s	ecurities listed below)
Partial				
□ In cash: \$	AND/OR	🗌 In kind	I (list the securities to be tra	ansferred in the table below)
Description	Symbol or	CUSIP	Quantity	Туре
				☐ In kind ☐ To sell ☐ At maturity
				☐ In kind ☐ To sell ☐ At maturity
				☐ In kind ☐ To sell ☐ At maturity
				☐ In kind ☐ To sell ☐ At maturity
				□ In kind □ To sell □ At maturity
				☐ In kind ☐ To sell ☐ At maturity
				☐ In kind ☐ To sell ☐ At maturity
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				In kind To sell At maturity
				□ In kind □ To sell □ At maturity
				In kind To sell At maturity
				☐ In kind ☐ To sell ☐ At maturity

Important: Please attach as many copies as you need of completed and signed form D212A form (Schedule: List of additional securities).

## Section 3 - Consent and signatures

I hereby authorize you to transfer my account at your financial institution to Desjardins Securities, in accordance with the directions provided on this form. I authorize Desjardins Securities to receive the balance and all securities in my account. These directions are subject to Desjardins Securities' approval of my account. Desjardins Securities reserves the right to refuse certain securities.

I revoke all open orders relating to my account. However, for a cash transfer request, I authorize the completion of all required orders at market price.

I agree to pay all costs, including differences and adjustments that might arise in relation to this request.

I authorize Desjardins Securities to act on my behalf to pay such amounts, which will then be deducted from my account balance. If for any reason whatsoever a securities held in my account cannot be delivered to Desjardins Securities, I ask that Desjardins Securities be advised immediately. Please, indicate the securities in question and the reason why you are unable to deliver them.

Important: Desjardins Securities cannot accept the transfer of an account from another Dealer Member which is not margined in accordance with regulatory requirements, unless at the time of the transfer, Desjardins Securities has in its possession sufficient available funds to cover the deficiency in the account.

Please send us a copy of your most recent statement to facilitate the transfer process.

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Client's signature

Date (YYYY-MM-DD)

Irrevocable beneficiary's signature (if applicable) (Mandatory for FTA RRSP's) Date (YYYY-MM-DD)

Desjardins Securities Inc. uses the trade name "Desjardins Online Brokerage" for its discount brokerage activities. Discount brokerage products and services are consolidated under the trademark "Disnat". Desjardins Securities is a member of the Investment Industry Regulatory Organization of Canada (IIROC) and the Canadian Investor Protection Fund (CIPF).