

**Identification of account**

Client's name: \_\_\_\_\_

Client number: \_\_\_\_\_ Date (YYYY-MM-DD): \_\_\_\_\_

**Person whose identity is being verified** Mr.  Ms. Last name: \_\_\_\_\_ First name: \_\_\_\_\_**Personal address**

Number and street: \_\_\_\_\_ Apartment: \_\_\_\_\_

City: \_\_\_\_\_ Province: \_\_\_\_\_ Country: \_\_\_\_\_ Postal code: \_\_\_\_\_

**Other information**

Date of birth: \_\_\_\_\_ Social Insurance Number: \_\_\_\_\_

Primary phone No.: \_\_\_\_\_ Additional phone No.: \_\_\_\_\_

**Questions**

1. Is the person authorized to trade an employee of a securities dealer?

 No  Yes, name of the firm: \_\_\_\_\_

2. Is the person authorized to trade a reporting insider of a company whose shares are traded on a stock exchange or in over the-counter markets?

 No  Yes, please specify the company name, the stock symbol and the market:

Company name: \_\_\_\_\_, Stock symbol: \_\_\_\_\_, Market: \_\_\_\_\_

3. Is this person authorized to trade a major shareholder (owning, directly or indirectly, more than 20% of shares with voting rights)?

 No  Yes, please specify the company name, the stock symbol and the market:

Company name: \_\_\_\_\_, Stock symbol: \_\_\_\_\_, Market: \_\_\_\_\_

**Consent and certification**

I, the undersigned, attest that I have reviewed form D2052 and confirm that the personal information it contains about me is true, complete and accurate as of this date. I authorize Desjardins Online Brokerage to gather, use and disclose the personal information about me to verify my identity, protect itself against fraud and error, and comply with the requirements set out in the laws and regulations. I authorize my financial institution as well as Desjardins Online Brokerage to use certain personal information about me solely to verify my identity under the terms of the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act* and for no other purpose. To this end, I authorize the communication of by either side of the personal information appearing on this form.

\_\_\_\_\_  
Name of the person whose identity is to be verified\_\_\_\_\_  
Signature\_\_\_\_\_  
Date (YYYY-MM-DD)

## INFORMATION REGARDING THE PERSON AUTHORIZED TO TRADE

IDENTITY VERIFICATION is required under the Proceeds of Crime (Money Laundering), the Terrorist Financing Act (PCMLTFA) and the Internal Revenue Service (IRS).

### Client resident of Canada

**Identity verification by** (reserved for Caisse Desjardins Advisor / Desjardins Online Brokerage Representative):

Desjardins Online Brokerage  Caisse Desjardins (please complete method 1: Identity verification done in person)

Name of Caisse: \_\_\_\_\_ Transit No.: \_\_\_\_\_ Institution No.: \_\_\_\_\_

Name of Advisor: \_\_\_\_\_ Verification Date (YYYY-MM-DD): \_\_\_\_\_

#### Legible copies **must** be attached at all times:

- Document(s) collected to verify the identity of the client (PCMLTFA requirement)

### Complete one [1] of the two sections below, according to the method of identification used

#### Method 1: Identity verification done in person

Verification of ONE [1] piece of government issued photo ID:

driver's licence<sup>1</sup>  health insurance card<sup>1-2</sup>  passport<sup>3</sup> (issuing country: \_\_\_\_\_)  
 provincial or territorial identity card (ex: "Photo Card")<sup>1</sup>  permanent Resident Card of Canada  secure Certificate of Indian Status

Document No.: \_\_\_\_\_ Expiry Date (YYYY-MM-DD): \_\_\_\_\_ Verification Date (YYYY-MM-DD): \_\_\_\_\_

<sup>1</sup> Indicate the issuing **Canadian** province or territory: \_\_\_\_\_

<sup>2</sup> Not valid in Ontario, New Brunswick, Nova Scotia, Manitoba or Prince Edward Island.

<sup>3</sup> A certified translation may be required.

#### Method 2: Identity verification done in person or not

Equifax (existing for **more than three (3) years** including name, address and date of birth)

File No.: \_\_\_\_\_ Verification Date (YYYY-MM-DD): \_\_\_\_\_

**OR**

verification of **two [2] of the following three [3] options:**

1)  Equifax (existing for **more than six (6) months** including name, address and date of birth)

File No.: \_\_\_\_\_ Verification Date (YYYY-MM-DD): \_\_\_\_\_

2)  Original utility account statement (ex: electricity, telecommunications, etc.)

**OR**  Original document from the Canada Revenue Agency (ex: T4)

Document No.: \_\_\_\_\_ Document Date (YYYY-MM-DD): \_\_\_\_\_ Verification Date (YYYY-MM-DD): \_\_\_\_\_

3)  Original bank account statement, credit card statement or loan statement:

Document No.: \_\_\_\_\_ Document Date (YYYY-MM-DD): \_\_\_\_\_ Verification Date (YYYY-MM-DD): \_\_\_\_\_

**OR**  Bank reference

Name of Financial institution: \_\_\_\_\_ Phone No.: \_\_\_\_\_

Institution No.: \_\_\_\_\_ Transit No.: \_\_\_\_\_ Account No.: \_\_\_\_\_

Contact name: \_\_\_\_\_ Verification Date (YYYY-MM-DD): \_\_\_\_\_

Note: the original version corresponds to the document that the person has downloaded or received from the issuer, either by mail or electronically.

### Signature (reserved for Desjardins Online Brokerage)

Date of identity verification of the person authorized to trade from the information provided by Caisse Desjardins (if applicable): \_\_\_\_\_

\_\_\_\_\_  
Name of the representative (in block letters)

\_\_\_\_\_  
Signature of the representative

\_\_\_\_\_  
Date (YYYY-MM-DD)

\_\_\_\_\_  
Name of Branch Manager (in block letters)

\_\_\_\_\_  
Signature of Branch Manager

\_\_\_\_\_  
Date (YYYY-MM-DD)

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