

IMPORTANT: The duly completed form must be sent to the Head of Compliance for approval.

In accordance with the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act (PCMLTFA)*, Desjardins Securities Inc. must take reasonable measures to determine whether the account is to be used by a third party or on its behalf. A third party is a person or entity that directs the activities of the account and that is neither the owner nor the person authorized¹ to give instructions for this account.

¹ The person for whom an account is administered via a deed, judgment or any similar document (trading authorization, mandate, proxy, etc.) is not required to complete this form.

Identification of the client

Account number: _____ Last name: _____ First name: _____

Third party information

If the third party is an individual

Mr. Ms. Last name: _____ First name: _____

Address (No. and street): _____ Apartment: _____

City: _____ Province: _____ Country: _____ Postal code: _____

Date of birth: _____ Occupation: _____

Relationship with the Client: _____

If the third party is a legal entity

Name of the legal entity: _____

Address (No. and street): _____ Appartment/Suite: _____

City: _____ Province: _____ Country: _____ Code postal: _____

Incorporation Number: _____ Place of Issuance of the Certificate of Incorporation: _____

Type of Business: _____ Relationship with the client: _____

Comments:

Signature

Client's signature: _____
Date (YYYY-MM-DD) _____

Account approval (section reserved for the Compliance Director)

Name Signature Date (YYYY-MM-DD)