

**Section 1 – Client's identification** (in print letters)

Mr.  Ms. Last name: \_\_\_\_\_ First name: \_\_\_\_\_  
No. and street: \_\_\_\_\_ Apt.: \_\_\_\_\_  
City: \_\_\_\_\_ Province: \_\_\_\_\_ Country: \_\_\_\_\_ Postal code: \_\_\_\_\_  
Social insurance number: \_\_\_\_\_ Phone: \_\_\_\_\_

**Section 2 – Transferor identification** (the financial institution delivering the funds)

Name: \_\_\_\_\_  
Contact name: \_\_\_\_\_ Phone: \_\_\_\_\_  
No. and street: \_\_\_\_\_ Suite: \_\_\_\_\_  
City: \_\_\_\_\_ Province: \_\_\_\_\_ Country: \_\_\_\_\_ Postal code: \_\_\_\_\_

**Section 3 – Transferor plan** (please complete a separate form for each account)

Account no \_\_\_\_\_ Currency:  CAD  USD  
 RRSP  Annuitant  Spousal  TFSA  LIF – Excess of maximum over minimum  
 FTA RRSP (\*see section 6)<sup>1</sup>  RRIF  After the transfer of the excess, the LIF's balance must be transferred to the LIRA or locked-in RRSP it comes from  
 Restricted locked-in RRSP  LIF  
 LIRA or locked-in RRSP  Restricted LIF  
Important: For RESP transfers, please use applicable government forms.  
<sup>1</sup> Available only in CAD.

**Section 4 – Desjardins Online Brokerage plan** (the financial institution receiving the funds)

Account no \_\_\_\_\_ Currency:  CAD  USD  
 RRSP  TFSA  
 FTA RRSP (\*see section 6)<sup>1</sup>  RRIF  
 Restricted locked-in RRSP<sup>2</sup>  LIF<sup>2</sup>  
 LIRA or locked-in RRSP<sup>2</sup>  Restricted LIF<sup>2</sup>  
<sup>1</sup> Available only in CAD.  
<sup>2</sup> See Locking-in confirmation on next page.

**Section 5 – Transfer requested**

**Total** (check one box only)  
 In cash (including the sale of all securities)  In kind (as is)  Mixed (including the sale of the securities listed below)  
**Partial**  
 In cash: \$ \_\_\_\_\_ **AND/OR**  In kind (list the securities to be transferred in the table below)

Description	Symbol or CUSIP	Quantity	Type		
			<input type="checkbox"/> In kind	<input type="checkbox"/> To sell	<input type="checkbox"/> At maturity
			<input type="checkbox"/> In kind	<input type="checkbox"/> To sell	<input type="checkbox"/> At maturity
			<input type="checkbox"/> In kind	<input type="checkbox"/> To sell	<input type="checkbox"/> At maturity

Important: To list additional securities, please attach a duly completed and signed form D212A form (Schedule: List of additional securities).

**Section 6 – Consent and signatures**

I hereby authorize you to transfer my account at your financial institution to Desjardins Securities, in accordance with the directions provided on this form. I authorize Desjardins Securities to receive the balance and all securities in my account. These directions are subject to Desjardins Securities' approval of my account. Desjardins Securities reserves the right to refuse certain securities.  
I revoke all open orders relating to my account. However, for a cash transfer request, I authorize the completion of all required orders at market price.  
I agree to pay all costs, including differences and adjustments that might arise in relation to this request.  
I authorize Desjardins Securities to act on my behalf to pay such amounts, which will then be deducted from my account balance. If for any reason whatsoever securities held in my account cannot be delivered to Desjardins Securities, I ask that Desjardins Securities be advised immediately. Please, indicate the securities in question and the reason why you are unable to deliver them.  
**Important:** Desjardins Securities cannot accept the transfer of an account from another Dealer Member which is not margined in accordance with regulatory requirements, unless at the time of the transfer, Desjardins Securities has in its possession sufficient available funds to cover the deficiency in the account.  
**Please send us a copy of your most recent statement to facilitate the transfer process.**

Client's signature \_\_\_\_\_ Date (YYYY-MM-DD) \_\_\_\_\_ Irrevocable beneficiary's signature (if applicable) \_\_\_\_\_ Date (YYYY-MM-DD) \_\_\_\_\_  
\* Mandatory for FTA RRSPs

Desjardins Securities Inc. Attn.: IPSO Division – Transfers 1 Complexe Desjardins, P.O. Box 800, Desjardins Station Montreal, QC H5B 0B1	CUID CDS DTC Investment Funds Fax	VMDM 5028 9356 - _____ (514) 286-3630
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**Section reserved for transferor use only**

**For RRSP, FTA RRSP and RRIF transfers**

Annuitant  Spousal  
 Mr.  Ms. Spouse's last name \_\_\_\_\_ Spouse's first name: \_\_\_\_\_ Spouse's SIN: \_\_\_\_\_

**For LIRA, locked-in RRSP, restricted locked-in RRSP, LIF and restricted LIF transfers**

Plan jurisdiction:  Federal  Federal restricted  Quebec  Other province/territory \_\_\_\_\_  
 Ontario → If LIF  Old  New  
 If Ontario LIF or LRIF, indicate income earned since the beginning of the year: \$ \_\_\_\_\_.

A lock-in provision applies to \$ \_\_\_\_\_.

Was the transferred locked-in RRSP a LIF for at least one day during the current year?  Yes  No

**For RRIF and LIF transfers**

Eligible plan (first opened before January 1, 1993)?  Yes  No

We have paid (or will pay) the annuitant the minimum amount (\$ \_\_\_\_\_) set for the year of the transfer on \_\_\_\_\_.

Contact name: \_\_\_\_\_ Phone: \_\_\_\_\_

**Section reserved for transferee use only**

**Locking-in confirmation**

In our capacity as agents for Desjardins Trust, we hereby confirm that all locked-in capital under the abovementioned registered plan and to which the holder's directions to the transferor mentioned in section 3 apply will be transferred to a registered plan of the same type and will continue to be administered in accordance with governing pension legislation or the contract provisions applicable to:

Province/territory: \_\_\_\_\_, where appropriate;  Old  New

Any subsequent transfer of such locked-in capital to another trustee or financial institution will be made only to another registered plan, which will continue to be administered in accordance with the legislation of the abovementioned province/territory. No locked-in capital transfer will be authorized unless the Target Plan is duly registered, complies with applicable pension legislation, regulations and the *Income Tax Act* (Canada), and appears on the Superintendent's List of Financial Institutions' authorized to administer funds in the abovementioned province/territory (if applicable).

Desjardins Securities representative's name (in block letters) \_\_\_\_\_ Signature \_\_\_\_\_ Date (YYYY-MM-DD) \_\_\_\_\_

**Possible transfers between plans**

		Régime cessionnaire										
		RRSP	FTA RRSP	LIRA/Locked-in RRSP immobilisé (QC, federal, MB, AB, BC and NB)	Federal restricted locked-in RRSP	Ontario LIRA	RRIF	LIF (QC, federal, MB, AB, BC and NB)	Federal restricted LIF	New Ontario LIF	Old Ontario LIF	TFSA
<b>Transferor plan</b>	RRSP	X	X				X					
	FTA RRSP	X	X				X					
	LIRA/Locked-in RRSP immobilisé (QC, federal, MB, AB, BC and NB)			X <sup>1</sup>				X <sup>1</sup>	X <sup>1</sup>			
	Federal restricted locked-in RRSP				X <sup>1</sup>				X <sup>1</sup>			
	Ontario LIRA					X				X <sup>1</sup>		
	RRIF	X <sup>2</sup>	X				X					
	LIF (QC, federal, MB, AB, BC and NB)			X <sup>1-2</sup>				X <sup>1</sup>	X <sup>1</sup>			
	Federal restricted LIF				X <sup>1</sup>				X <sup>1</sup>			
	New Ontario LIF									X <sup>1</sup>		
	Old Ontario LIF									X <sup>1</sup>		
TFSA											X	
VRSP <sup>3</sup>	X	X	X <sup>1</sup>				X	X <sup>1</sup>				
Federal PRPP <sup>3</sup>	X	X	X <sup>1</sup>	X			X	X <sup>1</sup>	X			

<sup>1</sup> Must be under the same jurisdiction (federal or provincial).  
<sup>2</sup> Attach a duly completed and signed T2030.  
<sup>3</sup> Locked-in amount must remain locked-in except as permitted by law.