

CLIENT INFORMATION UPDATE

SECTION 1 | Personal Information

Mr. Mrs. Ms. Surname: _____ First name: _____
 Or company name: _____ Social insurance number: _____
 Marital status: Single Married Widowed Separated Divorced Living common law Civil union

HOME ADDRESS (only Canadian residents can open an account with Desjardins Online Brokerage)

Number & street: _____ Apt.: _____
 City: _____ Province: _____ Country: _____ Postal code: _____
 Business telephone: _____ Home telephone: _____ E-Mail: _____

MAILING ADDRESS

Same as home address Number & street: _____ Apt.: _____
 City: _____ Province: _____ Country: _____ Postal code: _____

OCCUPATION & EMPLOYER

Occupation: _____ Employer's name: _____
 Number & street: _____ Suite: _____ Business tel.: _____
 City: _____ Province: _____ Country: _____ Postal code: _____
 Type of business: _____ Are you an employee of Desjardins Securities or a subsidiary? No Yes

FINANCIAL INFORMATION (Canadian Dollars) (A+B=C)

Annual revenue from all sources: _____ Approximate net capital assets (A): _____
 Approximate net liquid assets (B): _____ Total net worth (C): _____

QUESTIONS – IF THE CLIENT ANSWERS “YES” TO ANY OF THE FOLLOWING QUESTIONS, please give details and/or attach the required form

1. Account holder

- a) Do you have other brokerage accounts? No Yes
 Name of the firm: _____ Type of account: _____
- b) Do you control the trading in other brokerage accounts? No Yes
 Name of the firm: _____ Type of account: _____
- c) Are you a reporting insider (in accordance with the definition available in Article 6 of this application) of a company whose shares are traded on a stock exchange or in over-the-counter markets? No Yes Name of the company, stock symbol and market: _____
- d) Are you a significant shareholder (in accordance with the definition available in Article 6 of this application) of such a company? No Yes Name of the company, stock symbol and market: _____
- e) Are you employed by a securities dealer? (attach employer's authorization)
 No Yes Name of the firm: _____
- f) Do you live under the same roof as someone who works for a securities dealer?
 No Yes
 Name of the firm: _____
 Surname: _____
 First name: _____

Politically Exposed Foreign Persons (PEPs)

- g) Do you, your spouse, common-law partner, child, mother, father, brother, sister spouse's or common-law partner's mother or father of the client hold or have held an office or a position in or on behalf of a foreign state: (a) head of state or head of government; (b) member of the executive council of government or member of a legislature; (c) deputy minister or equivalent rank; (d) ambassador or attaché or counselor of an ambassador; (e) military officer with a rank of general or above; (f) president of a state-owned company or a state-owned bank; (g) head of a government agency; (h) judge; (i) leader or president of a political party represented in a legislature; or (j) holder of any prescribed office or position covered by the Regulation?
 No Yes, the account opening is conditioned to Desjardins Online Brokerage approval. Please contact us for more details.

2. Other person(s)

- a) Does another person have authorization to trade in this account? (Attach D204 or D240) No Yes
 Name: _____
- b) Does another person guarantee this account? (Attach VD243)
 No Yes Name: _____
- c) Does another person have a financial interest in this account? (Attach VD2051)
 No Yes Name: _____

3. Is the account a joint account?

No Yes: Attach D201 for each account holder and D207

4. Intended use of the account

cash management investments

other (specify): _____

SECTION 2 | Investment knowledge

What is the client's level of investment knowledge? Nil Limited Good High

SECTION 3 | How did you learn about our services?

Client's signature _____ Date _____ Representative's signature _____ Date _____ Branch Manager's signature _____ Date _____	Comments: _____ _____ _____
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